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摘要

奴隸的通性有三:一、奴隸是屬於主人的、可以買賣的「物」 和「動產」,不具有人的權利與義務;二、奴隸與原來所屬的種族、 邦國、宗教、家族的關係完全已被根拔;三、奴隸永是社會的「外方 人」。只有依靠奴隸爲生產主力的古今社會,才能被稱爲奴隸社會。

以上列奴隸三個基本特徵與商周考古及文獻資料相核證的結果 是:佔商代人口極大多數的「衆」和佔周代人口極大多數的「庶人」 都是享有室家的平民,都不是奴隸;即使周代被認爲「卑賤」的「 **卓、隸、圉、牧」也還各有家室,都是下級的職事人員,不是奴隸。** 在商代只有被擄的「羌」和其他異族的人是奴隸;在周代只有「罪 隸」和異族戰俘是奴隸。眞正的奴隸在全人口中旣微不足道而且很少 從事生產,商周社會決無法被認爲是奴隸社會。

附錄扼要指出何以商周奴隸社會說的指導理論——馬克思的「亞 細亞生產方式說」——幾乎完全没有史實根據,所以不能成立。

大綱

- 一、奴隸的定義
- 二、商代關鍵性社會身分的檢討
- 三、兩周關鍵性社會身分的檢討

四、結語

附錄:「亞細亞生產方式」說辯要

The Shang-Chou Slave-Society Theory: A Refutation

Ping-ti Ho

Abstract

To be duly regarded as a slave, one has to possess the following characteristics. 1. Being in the nature of a "thing" (res) or "chattel", he is devoid of human rights and obligations. 2. A slave is the one whose relationship with his original race, state, religion, kinship and family has been completely uprooted. 3. From the society's standpoint, a slave is always an "outsider."

A critical examination of relevant archaeological and literary data of the Shang-Chou period, based on these three criteria, leads to the following conclusion: the overwhelming majorities of the Shang-Chou populations, being "chung 衆" and "shu-jen 庶人" respectively, all enjoyed ordinary family and kinship relationships and were therefore common people rather than salves; the scattered and numerically insignificant groups of slaves were either war captives or criminals.

Ancient China never underwent a "salve-society" stage.

The appendix explains succinctly how and why Marx's theme of "the Asiatic Mode of Production," which has inspired the formulation of the Shang-Chou slave-society theory, is not borne out by historical evidence and must be declared invalid.